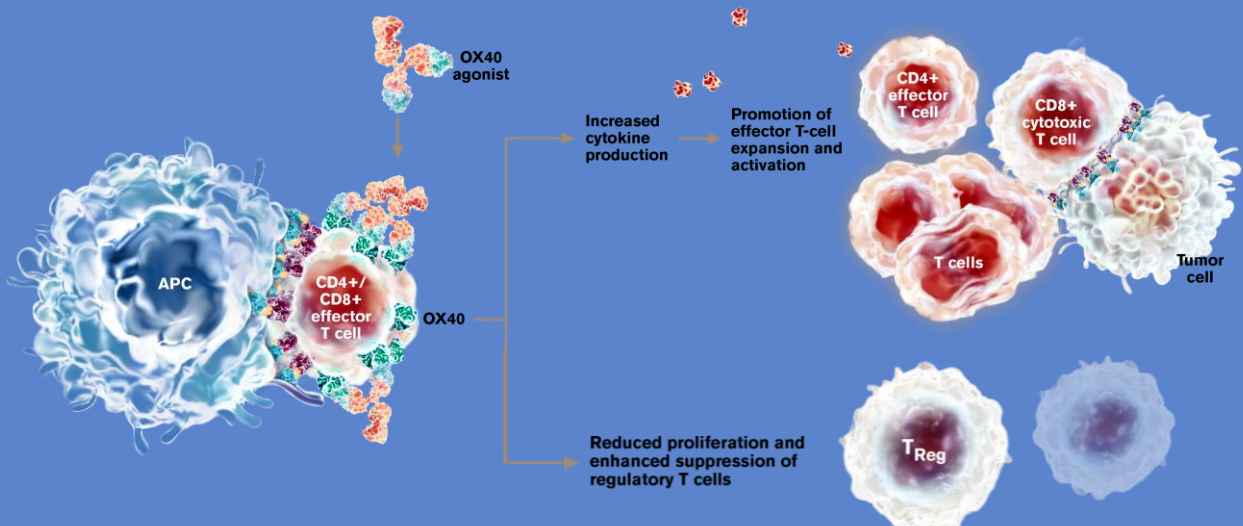


A First-in-Human Phase I Study of the OX40 Agonist GSK3174998 (GSK998) +/- Pembrolizumab in Patients (Pts) With Selected Advanced Solid Tumors (ENGAGE-1)

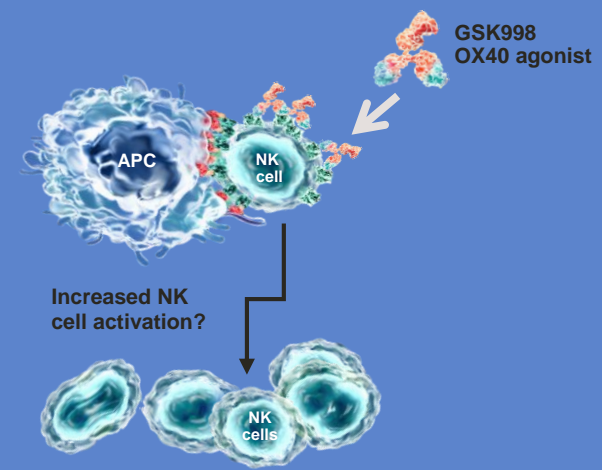
Sophie Postel-Vinay¹, Vincent K. Lam², Willeke Ros³, Todd M. Bauer⁴, Aaron R. Hansen⁵, Daniel C. Cho⁶, F. Stephen Hodi⁷, Jan H.M. Schellens³, Jennifer K. Litton², Sandrine Aspeslagh¹, Karen A. Autio⁸, Frans L. Opdam³, Meredith McKean⁴, Neeta Somaiah², Stephane Champiat¹, Mehmet Altan², Anna Spreafico⁵, Osama Rahma⁷, Elaine M. Paul⁹, Christoph M. Ahlers¹⁰, Helen Zhou¹⁰, Herbert Struemper⁹, Shelby A. Gorman¹⁰, Maura Watmuff¹⁰, Kaitlin M. Yablonski¹⁰, Niranjana Yanamandra¹⁰, Michael J. Chisamore¹¹, Emmett V. Schmidt¹¹, Axel Hoos¹⁰, Aurélien Marabelle¹, Jeffrey S. Weber⁶, John V. Heymach²

¹Gustave Roussy, Villejuif, France; ²The University of Texas MD Anderson Cancer Center, Houston, TX; ³Netherlands Cancer Institute, Antoni van Leeuwenhoek Hospital, Amsterdam, Netherlands; ⁴Sarah Cannon Research Institute/TN Oncology, Nashville, TN; ⁵Princess Margaret Cancer Centre, Toronto, ON, Canada; ⁶Perlmutter Cancer Center at NYU Langone Medical Center, New York, NY; ⁷Dana-Farber Cancer Institute, Boston, MA; ⁸Memorial Sloan Kettering Cancer Center, New York, NY; ⁹GlaxoSmithKline, Research Triangle Park, NC; ¹⁰GlaxoSmithKline, Collegeville, PA; ¹¹Merck & Co., Inc, Kenilworth, NJ

OX40 Agonism Results in Stimulation of Immune Effector T Cells and Attenuation of the Function of T_{reg} Cells¹⁻³



OX40 Agonism may Increase NK Cell Activation



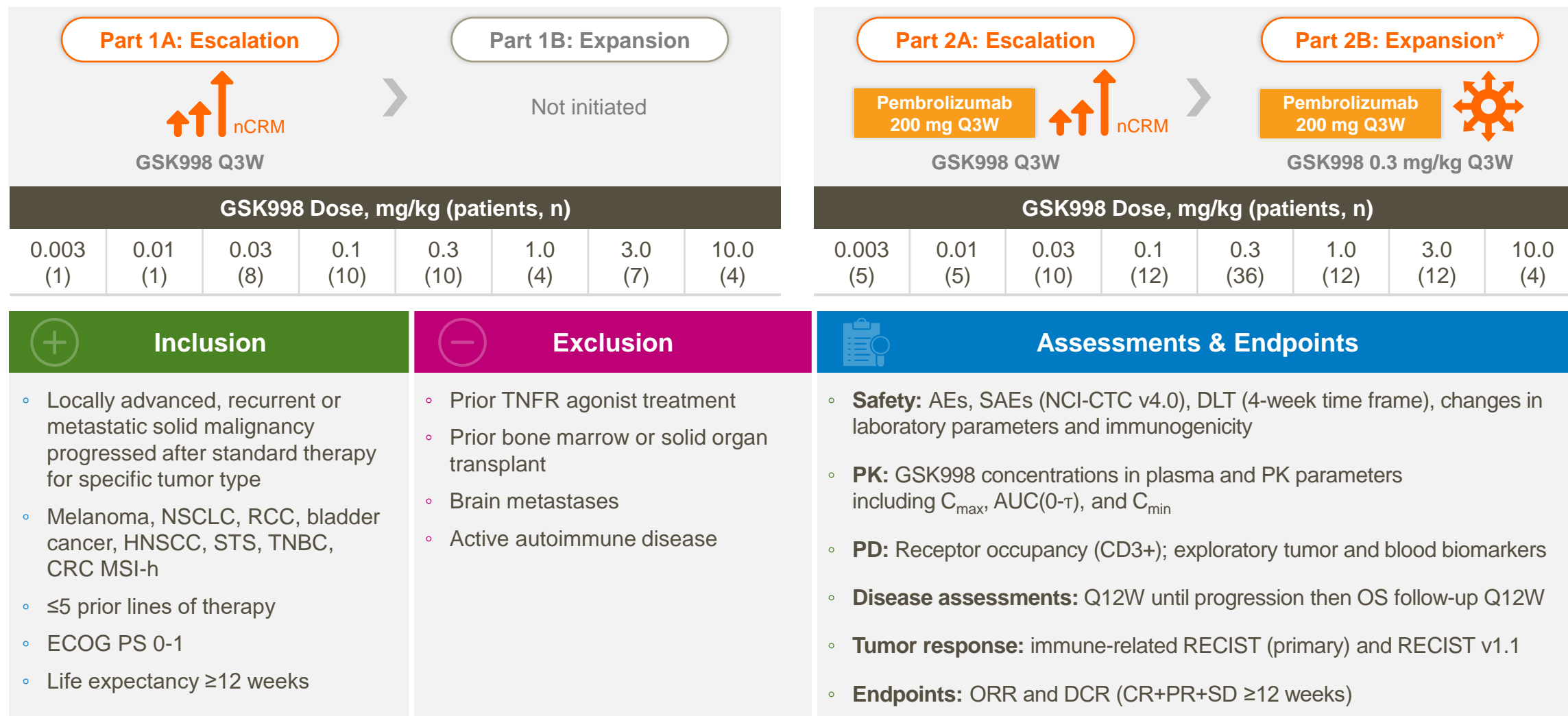
1. Croft M. Ann Rev Immunol. 2010;28:57-78; 2. Weinberg AD, et al. Immunol Rev. 2011;244:218-31; 3. Voo KS, et al. J Immunol. 2013;191:3641-50. APC, antigen-presenting cell, NK, natural killer; T_{reg}, regulatory T-cell

- **S. Postel-Vinay:** Gustave Roussy, Merck KGaA, Roche, Boehringer Ingelheim. **V.K. Lam:** GSK, BMS, Takeda, Adaptimmune, Achilles Therapeutics. **W. Ros:** None. **T.M. Bauer:** Moderna Therapeutics, Pfizer, Guardant Health, Loxo Pharmaceuticals, Exelexis, Ignyta, Bayer; Institutional: Daiichi Sankyo, Medpacto, Inc., Incyte, Mirati Therapeutics, MedImmune/AZ, Abbvie, Leap Therapeutics, MabVax, Stemline Therapeutics, Merck, Eli Lilly, GSK, Novartis, Genentech/Roche, Deciphera, Merrimack Pharmaceuticals, Immunogen, Millennium Pharmaceuticals, Calithera Biosciences, Kolltan Pharmaceuticals, Principa Biopharma, Peleton, Immunocore, Aileron Therapeutics, Bristol-Myers Squibb, Amgen, Sanofi, Boehringer Ingelheim, Astellas Pharma, Five Prime Therapeutics, Jacobio, Top Alliance BioScience, Janssen Pharmaceuticals, Clovis Oncology, Takeda, Karyopharm Therapeutics, Onyx Pharmaceuticals, Phosplati Therapeutics, Foundation Medicine. **A.R. Hansen:** Genentech/Roche, Merck, GSK, Bristol-Myers Squibb, Novartis, Boston Biomedical, Boehringer-Ingelheim, AstraZeneca, Medimmune. **D.C. Cho:** Nektar, Pfizer, Puretech, Torque, HUYA. **F. Hodi:** Novartis, BMS, Genentech, Merck, Sanofi, EMD Serono, Verastem, Pfizer, Bayer, Aduro, Amgen., Apricity, Bicara, Boston, Pharma, Pionyr, 7 Hills, Torque, Takeda, Compass. **J.H. Schellens:** share and patent holder on oral taxanes; Modra Pharmaceuticals. **J.K. Litton:** The University of Texas, MD Anderson Cancer Center, GSK, Novartis, Medivation/Pfizer, Genentech, EMD-Serono, Astra-Zeneca, Medimmune, Zenith, Ayala, Up To Date review panels for NCCN, ASCO, NIH PDQ, Medlearning, Physicians Education Resource, Prime Oncology, Medscape, Clinical Care Options, Medpage. **S. Aspeslagh:** UZbrussel, BSMO, ESMO, personal fees for oral presentations; MSD, BMS, Astra Zeneca, Amgen, Roche, Sanofi, Pfizer and Novartis. **K.A. Autio:** Institution: GSK, Pfizer, Astra-Zeneca, CytomX, Amgen, Tizona. **F.L. Opdam:** Netherlands Cancer Institute. **M. McKean:** Institution: Epizyme, Exelexis, Genentech, GSK, Infinity, Jacobio, Moderna, Regeneron, Tizona, Prelude, Ascentage Pharma, Ideaya Biosciences, Ikena Oncology, Array Biopharma, publication support to institution; Novartis. **N. Somaiah:** None. **S. Champiat:** Amgen, AstraZeneca, BMS, Janssen, MSD, Novartis and Roche, As part of Gustave Roussy Drug Development Department (DITEP): Principal/sub-Investigator of Clinical Trials; Abbvie, Adaptimmune, Aduro Biotech, Agios Pharmaceuticals, Amgen, Argen-X Bvba, Arno. **M. Altan:** GSK, Genentech, Nektar Therapeutics, Merck, Novartis, Jounce Therapeutics, BMS, Eli Lilly. **A. Spreafico:** University Health Network and Assistant Professor University of Toronto, MERCK, BMS, Novartis, Oncorus, Janssen, Institution: Novartis, Bristol-Myers Squibb, Symphogen AZ/Medimmune, Merck, Bayer, Surface Oncology, Northern Biologics, Janssen/J&J, Roche, Regeneron, Alkermes, Array Biopharma, GSK. **O. Rahma:** GSK, Merck, Celgene, Five Prime, GFK, Bayer, Roche/Genentech, Puretech, Imvax. **E.M. Paul:** GSK. **C.M. Ahlers:** GSK. **H. Zhou:** GSK. **H. Struemper:** GSK. **S.A. Gorman:** GSK. **M. Watmuff:** GSK. **K.M. Yablonski:** GSK. **N. Yanamandra:** GSK. **M.J. Chisamore:** Merck & Co. Inc. **E.V. Schmidt:** Merck & Co. Inc. **A. Hoos:** GSK. **A. Marabelle:** Pfizer, Roche/Genentech, Astra Zeneca/Medimmune, BMS. **J.S. Weber:** Nextcure, Biond, Celldex, Protean, CytoMx, Altor, BMS, GSK, Merck, Novartis, Amgen, Genentech, Astra Zeneca, Incyte, Named on a CTLA-4 biomarker patent by Moffitt, and a PD-1 biomarker by Biodesix; Moffitt, Biodesix. **J.V. Heymach:** GSK, Astra Zeneca, Patent; Spectrum Pharmaceuticals, Checkmate Pharmaceuticals, Brightpath Biotherapeutics, Eli Lilly & Co, Kairos Venture Investments, Triptych Health Partners, NIH/NCI, American Cancer Society, Cancer Prevention & Research Institute of Texas, AACR Johnson & Johnson Lung Cancer.
- Study sponsored by GlaxoSmithKline in collaboration with Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Kenilworth, NJ, USA.
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ENGAGE-1: Phase I Study (NCT02528357)^{1,2}

Safety, Tolerability, PK, PD, and Clinical Activity of GSK998 Alone or in Combination With Pembrolizumab for up to 2 Years



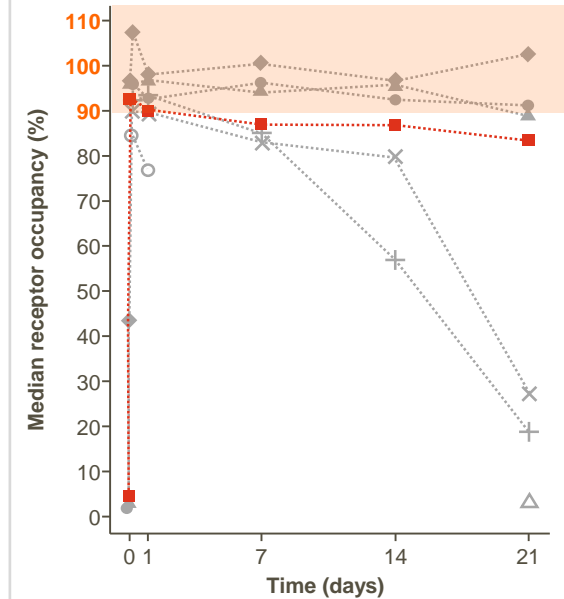
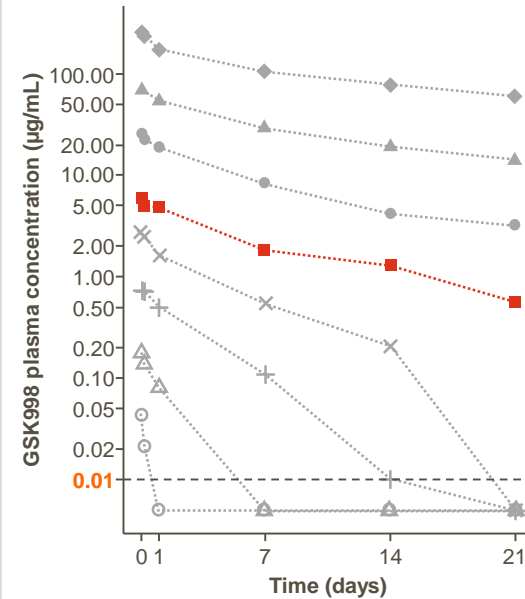
* Melanoma (n=8) soft tissue sarcoma de-differentiated liposarcoma (n=8) non-small cell lung cancer (n=5) || 1. US Clinical Trial Registry (<https://www.clinicaltrials.gov>). 2. Infante JR et al. Poster presentation, ASCO 2016; Abstract TSP3 || AE, adverse event; AUC, area under the curve; C_{max} , maximum concentration; C_{min} , minimum concentration; CR, complete response; CRM, continual reassessment method; DCR, disease control rate; DLT, dose-limiting toxicity; ECOG PS, Eastern Cooperative Oncology Group performance status; HNSCC, head and neck squamous cell carcinoma; CRC MSI-h, colorectal cancer displaying high microsatellite instability; NCI-CTC, National Cancer Institute Common Toxicity Criteria; NSCLC, non-small cell lung cancer; ORR, overall response rate; OS, overall survival; PD, pharmacodynamics; PK, pharmacokinetics; PR, partial response; Q3W, every 3 weeks; Q12W every 12 weeks; RCC, renal cell carcinoma; RECIST, Response Evaluation Criteria In Solid Tumors; RO, receptor occupancy; SAE, serious adverse event; SD, stable disease; STS, soft tissue sarcoma; TNBC, triple-negative breast cancer; TNFR, tumor necrosis factor receptor.

Patient and Disease Characteristics, Pharmacokinetics and Receptor Occupancy

GSK998 0.3 mg/kg dose was threshold for linear PK and peripheral RO saturation over 3-week dose interval

	GSK998 (n=45)	GSK998 + Pembrolizumab (n=96)*
Age, years; median (range)	63.0 (27-78)	63.5 (25-86)
Sex, n (%)		
Female	27 (60)	44 (46)
Male	18 (40)	52 (54)
ECOG PS, n (%)		
0	20 (44)	38 (40)
1	25 (56)	58 (60)
Tumor Types, n		
NSCLC	12 (27)	17 (18)
Melanoma	3 (7)	20 (21)
STS	10 (22)	8 (8)
CRC MSI-h	1 (2)	13 (14)
RCC	8 (18)	9 (9)
Bladder	2 (4)	11 (11)
HNSCC	2 (4)	7 (7)
TNBC	7 (16)	11 (11)
Prior anticancer regimens, n (%)		
1	10 (22)	19 (20)
2	9 (20)	23 (24)
3	7 (16)	22 (23)
4	7 (16)	13 (14)
>4	10 (22)	14 (15)
Prior PD-1/PD-L1 therapy, n (%)	13 (29)	41 (43)

GSK998 demonstrated target binding in the periphery as evidenced by PK and receptor occupancy (RO)



--- LLOQ = 0.01 µg/ml†

Dose group: ○ 0.003 mg/kg △ 0.01 mg/kg + 0.03 mg/kg × 0.1 mg/kg
 ■ 0.3 mg/kg ● 1 mg/kg ▲ 3 mg/kg ◆ 10 mg/kg



0.3 mg/kg dose was selected for further clinical evaluation in melanoma, STS-DDLS, and NSCLC in Part 2B (expansion)

*Part 1A = 45 pts; *Part 2A = 75 pts (3 pts crossed over from Part 1A); *Part 2B = 21 pts: Melanoma (8), STS (8), and NSCLC (5)

†Samples reported below the LLQ (0.01 µg/mL) were assigned a value of 0.005 µg/mL for the purposes of this graphical summary

ECOG PS, Eastern Cooperative Oncology Group performance status; HNSCC, head and neck squamous cell carcinoma; LLOQ, lower limit of quantitation; CRC-MSI-h, colorectal cancer displaying high microsatellite instability; NSCLC, non-small cell lung cancer; PK, pharmacokinetics; RCC, renal cell carcinoma; RO, receptor occupancy; STS, soft tissue sarcoma (DDLS = de-differentiated liposarcoma); TNBC, triple-negative breast cancer; TNFR, tumor necrosis factor receptor.⁴



GSK998 +/- pembrolizumab was well tolerated

GSK998 0.003–10.0 mg/kg IV Q3W +/- pembrolizumab 200 mg

AE, n (%)	Monotherapy (n=45)	
	All Grades	G ≥3*
Any AE (all causality)	45 (100)	18 (40)
Any TR-AE	23 (51)	3 (7)
TR-AE in ≥5% patients		
Diarrhea	5 (11)	0
Fatigue	5 (11)	0
Nausea	4 (9)	0
Decreased appetite	3 (7)	0
Myalgia	3 (7)	0
TR-AE leading to treatment delay	3 (7)	2 (4)
TR-AE leading to IP discontinuation	0	0
DLT	0	0

AE, n (%)	Combination (n=96) [†]	
	All Grades	G ≥3*
Any AE (all causality)	95 (99)	34 (35)
Any TR-AE	61 (64)	8 (8)
TR-AE in ≥5% patients		
Fatigue	23 (24)	3 (3)
Nausea	10 (10)	0
Pruritis	7 (7)	0
Arthralgia	6 (6)	0
Pyrexia	6 (6)	0
Diarrhea	5 (5)	1 (1)
Rash	5 (5)	0
Rash (maculopapular)	5 (5)	0
TR-AE leading to treatment delay	6 (6)	1 (1)
TR-AE leading to IP discontinuation	3 (3)	2 (2)
DLT	2 (2)	2 (2)

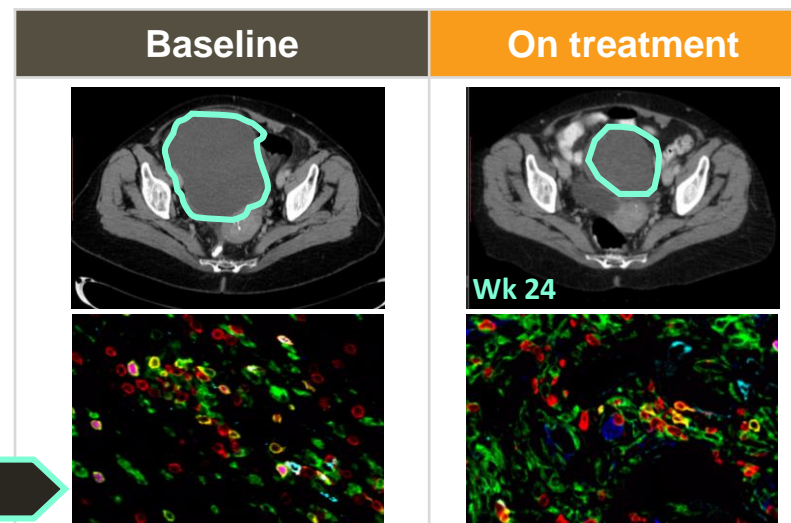
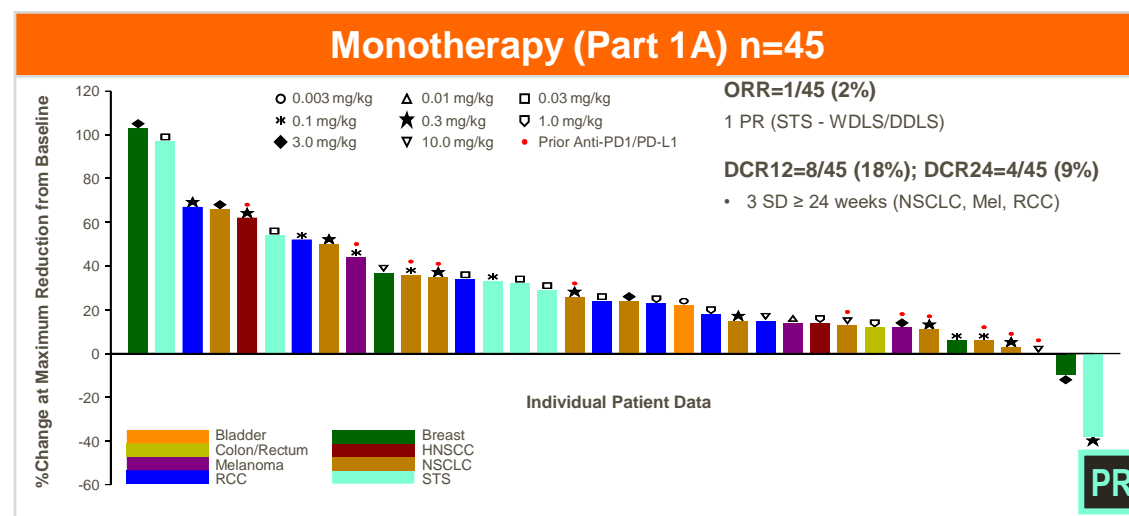
- **No dose-relationship for AEs**
- **No MTD established**
- **DLTs**
 - Combination therapy only, n=2
 - G3 non-malignant pleural effusion (0.03 mg/kg); G1 myocarditis with G3 troponin increase (10 mg/kg)
- **No Grade 4 or 5 TR-AEs**
- **TR-AEs leading to IP discontinuation**
 - Combination therapy only, n=3
 - G1 myocarditis with G3 troponin increase; G3 infusion reaction; G2 fatigue
- **Immunogenicity**
 - GSK998 ADA in 38% (49/130) patients (monotherapy, 21/45; combination 28/85), of whom 3 had infusion reactions

*No treatment-related Grade 4 or 5 AEs. †Includes 75 pts from Part 2A and 21 pts from Part 2B: Melanoma (8), STS – De-differentiated liposarcoma (8), and NSCLC (5)
 ADA, anti-drug antibody; AE, adverse event; CVA, Cerebrovascular accident; DLT, dose-limiting toxicity; g, grade; IP, investigational product; MTD, maximally tolerated dose; TR-AE, treatment-related AE.



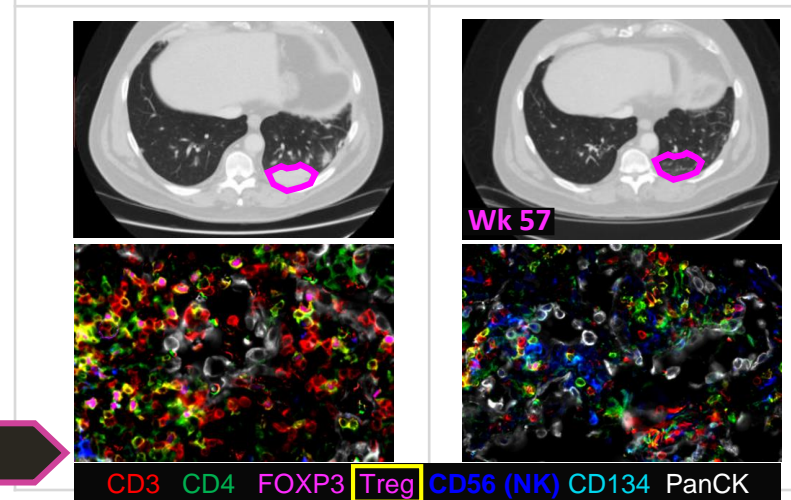
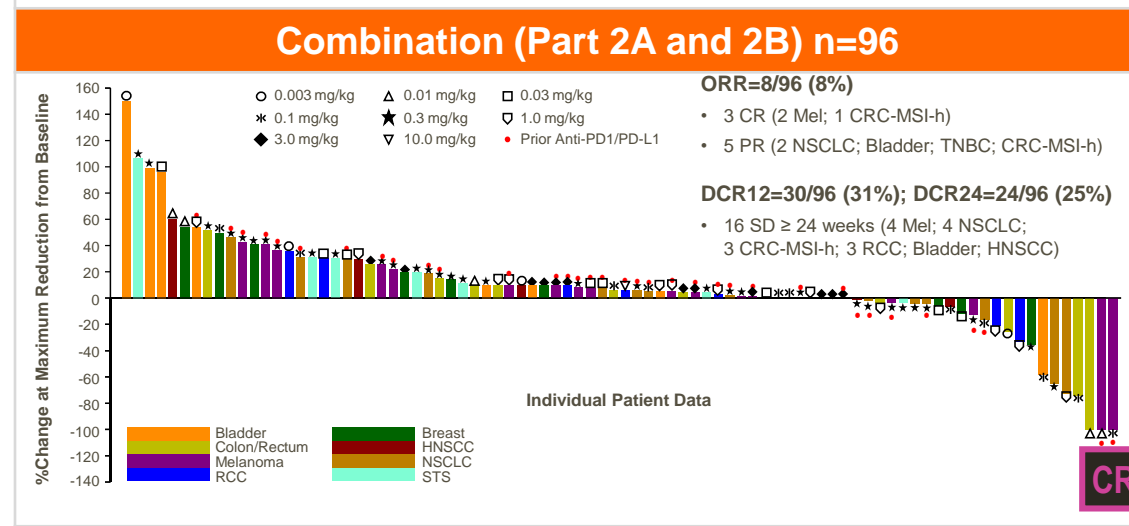
Limited monotherapy and modest combination clinical activity

MultiOmyx™ data from tumor biopsies suggested increased NK/decreased Treg involvement in some responders



GSK998 0.3 mg/kg

- 66YO female patient
- STS - WDLS/DDLS
- Prior doxorubicin (15 mg/week x 2 mo)
- Partial response (-38%)
- On study - 39 weeks
- MultiOmyx™ IHC: increased **NK cells**, decreased **Treg**



GSK998 0.1 mg/kg + pembrolizumab 200 mg

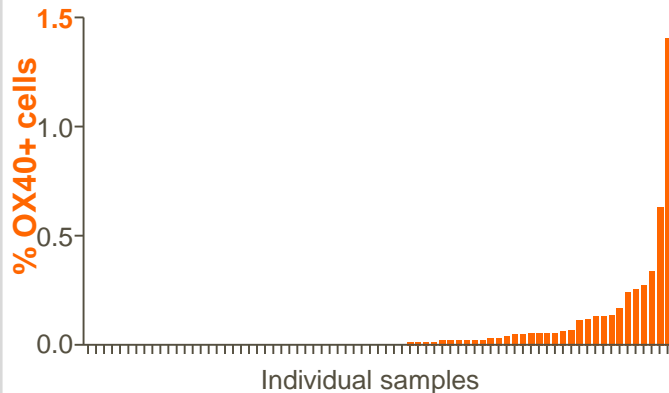
- 60YO male patient
- Melanoma
- Prior ipilimumab/nivolumab (~14 mo)
- Complete response (ongoing >18 mo)
- On study - 3 years
- MultiOmyx™ IHC: increased **NK cells**, decreased **Treg**

irRECIST (Immune-related Response Evaluation Criteria In Solid Tumors) used to assess response; unconfirmed and confirmed responses included. CR, complete response; DCRX, DCR at X weeks (complete response + partial response + stable disease ≥X weeks); HNSCC, head and neck squamous cell carcinoma; IHC, immunohistochemistry; mo, month; Mel, melanoma; CRC MSI-h, colorectal cancer displaying high microsatellite instability; NK, natural killer; NSCLC, non-small cell lung cancer; PD-L, Programmed death-ligand; PR, partial response; RCC, renal cell carcinoma; STS, soft tissue sarcoma (WDLS=well differentiated liposarcoma and DDLS=de-differentiated liposarcoma); TNBC, triple-negative breast cancer; Treg, regulatory T-cell; wk, week; YO, year-old

OX40 Expression, Summary and Conclusions

OX40 expression in tumor

MultiOmyx IHC: <2% total cells were OX40+



Both response rate and tumor OX40 expression were low; no correlation was observed between them

For questions please contact
Elaine Paul <elaine.m.paul@gsk.com>



Summary and Conclusions

Safety

- GSK998 well tolerated ≤ 10 mg/kg +/- 200 mg pembrolizumab
- ADA detected in 38% patients; 3 had infusion reactions

Dose

- GSK998 0.3 mg/kg selected for combination expansion:
 - Threshold for linear PK and peripheral RO saturation
 - Monotherapy and combination response observed

Efficacy

- Monotherapy activity did not support initiating expansion cohorts
- Combination activity not significantly greater than expected for pembrolizumab alone; did not support continued expansion

Exploratory Biomarkers

- Role for \uparrow NK cells/ \downarrow Treg in responses driven by OX40 agonism?
- Would \uparrow OX40 expression in tumor increase clinical activity?

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The patients who participated in this study, their families and caregivers
 The site personnel, GSK, Merck, ICON and Neogenomics teams
 Health care providers and AACR meeting organizers working through an unprecedented global pandemic

